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TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

RE: Attorney Docket No.: PIP-69B-KATZ

Application Serial No.: 09/828,122

Filed: 04/09/01

Title: PAIRED PROMOTION ARCHITECTURE

Inventor: GARY M. KATZ

Group Art Unit: 2162 Examiner: UNKNOWN

SIR:

Attached hereto for filing are the following papers:

Transmittal Letter/Authorization to Charge Deposit Account (in Duplicate)
Information Disclosure Statement (in Duplicate)
PTO Form 1449 (with Prior Art References AA-AAH)

Our check in the amount of \$_\$0.00 is attached covering the required fees.

The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number <u>50-2106</u>.

Da

Richard A. Neifeld, Ph.D. Registration No. 35,299

Attorney of Record

31518
PATENT TRADEMARK OFFICE

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Docket No.

PIP-69B-KATZ

IN THE UNITED STATE OF TENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Gary M. Katz

SERIAL NO:

09/828,122

FILED:

04/09/01

FOR:

Paired Promotion Architecture

GAU:

UNKNOWN

EXAMINER:

UNKNOWN

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

SIR

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached PTO-1449. Copies of the listed references were submitted in the parent or grandparent applications, where required, as were either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references, or cited by the examiner.
- A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s) is attached along with PTO 1449.
- A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

PETITION

Applicant(s) hereby request consideration of the attached information. A check is attached in the amount of the Petition fee required under 37 CFR §1.17(i)(1).

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 50-2106. A duplicate copy of this sheet is enclosed.

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PATENT TRADEMARK OFFICE

11/8/02

Respectfully submitted.

Richard A. Neifeld

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ATTY DOCKET NO. SERIAL NO. Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE PIP-69B-KATZ 09/828,122 **APPLICANT** LIST OF REFERENCES CITED BY APPLICAL Gary M. Katz NOV 0 8 2002 FILING DATE **GROUP** 04/09/2001 DOCUMENT TRADE **U.S. PATENT DOCUMENTS EXAMINER** SUB FILING DATE DATE NAME **CLASS** INITIAL NUMBER CLASS IF APPROPRIATE 4,910,673 AA 3/20/1990 Off et al. AB 5,173,851 12/22/1992 Off et al. AC 5,353,219 10/4/1994 | Mueller et al. AD 3/26/1996 5,502,636 Clarke ΑE 5,612,868 3/18/1997 Off et al. AF 5,794,210 8/11/1998 Goldhaber et al. AG 5,832,457 11/3/1998 O'Brien et al. AΗ 5,892,827 4/6/1999 Beach et al. ΑI 5,893,075 4/6/1999 Plainfield et al. ΑJ 5,907,831 5/25/1999 Lotvin et al. ΑK 5,937,392 8/10/1999 Alberts AL 5,974,398 10/26/1999 Hanson et al. AM 5.974.399 10/26/1999 Giuliani et al. AN 5,983,196 11/9/1999 Wendkos 5,991,736 AO 11/23/1999 Ferguson et al. AP 5,999,967 12/7/1999 Sundsted AQ 6,009,412 12/28/1999 Storey AR 6,014,634 1/11/2000 Scroggie et al. AS 6,026,370 2/15/2000 Jermyn ΑT 6,035,279 3/7/2000 Montangero et al. ΑU 6,055,510 4/25/2000 Henrick et al. ΑV 4/25/2000 6,055,513 Katz et al. AW 6,055,573 4/25/2000 Gardenswartz et al. AX 6,061,660 5/9/2000 Eggleston et al. AY 6,070,145 5/30/2000 Pinsley et al. ΑZ 6,076,101 6/13/2000 Kamakura et al. AAA 6,161,127 12/12/2000 Cezar et al. AAB 6,182,050 1/30/2001 Ballard AAC 6,327,574 12/4/2001 Kramer et al. AAD 2002/0026345 2/28/2002 Juels AAE 2002/0029267 3/7/2002 Sankuratripati et al. AAF 2002/0083006 6/27/2002 Headings et al.

			FOR	REIGN PATENT DOC	UMENTS			
		DOCUMENT NUMBER	DATE	С	COUNTRY		TRANSLATION YES NO	
	AAG	WO 01/35287 (PCT/KR00/00966)	5/17/2001	PCT		YES		
	-							
_		OTHER RE	FERENCES (I	ncluding Author, Titl	e, Date, Pertinent	Pages, etc.)		
	Nash, "The Direct Marketing Handbook," McGraw-Hill (New York) 1992.							
-								
						Date Considered		

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Printed: October 31, 2002 (12:11pm)

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